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PTO/SB/05 (03-01)

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UTILITY PATENT APPLICATION TRANSMITTAL <i>(Only for new nonprovisional applications under 37 CFR 1.53(b))</i>	Attorney Docket No.	9426-062-999	Total Pages	84
	First Named Inventor or Application Identifier			
	Taylor			
	Express Mail Label No.	EV335857738US		

APPLICATION ELEMENTS <i>See MPEP chapters 600 concerning utility patent application contents.</i>	Address to: Commissioner for Patents P.O. Box 1450 Mail Stop PATENT APPLICATION Alexandria, VA 22313-1450
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| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
<i>(Submit an original, and a duplicate for fee processing)</i></p> <p>2. <input type="checkbox"/> Applicant claims Small Entity status, see 37 C.F.R. § 1.27</p> <p>3. <input checked="" type="checkbox"/> Specification [Total Pages 60]
<i>(preferred arrangement set forth below, MPEP 1503.01)</i></p> <ul style="list-style-type: none">- Table of Contents- Descriptive Title of the Invention- Cross Reference to Related Applications- Statement Regarding Fed sponsored R&D- Reference to Microfiche Appendix- Background of the Invention- Brief Summary of the Invention- Brief Description of the Drawings (if filed)- Detailed Description of the Invention (including drawings, if filed)- Claim(s)- Abstract of the Disclosure <p>4. <input checked="" type="checkbox"/> Drawing(s) (35 USC 113) [Total Sheets 8]</p> <p>5. <input checked="" type="checkbox"/> Declaration and Power of Attorney [Total Sheets 6]</p> <ul style="list-style-type: none">a. <input type="checkbox"/> Newly executed (original or copy)b. <input checked="" type="checkbox"/> Copy from a prior application (37 CFR 1.63(d))
<i>(for continuation/divisional with Box 18 completed)</i><ul style="list-style-type: none">i. <input type="checkbox"/> DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b). <p>6. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> | <p>7. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)</p> <p>8. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence Submission <i>(if applicable, all necessary)</i></p> <ul style="list-style-type: none">a. <input type="checkbox"/> Computer Readable Form (CRF)b. <input type="checkbox"/> Specification Sequence Listing on:<ul style="list-style-type: none">i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); orii. <input type="checkbox"/> paperc. <input type="checkbox"/> Statement verifying identity of above copies |
|--|---|

ACCOMPANYING APPLICATION PARTS

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement ☐ Power of Attorney
(when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☒ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s)
(if foreign priority is claimed)
16. ☐ Nonpublication Request under 35 U.S.C. 122 (b)(2)(i). Applicant must attach form PTO/SB/35 or it's equivalent
17. ☐ Other:

18. If a CONTINUING APPLICATION, check appropriate box and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☒ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 09/392,500 filed September 9, 1999, which is entitled to and claims priority benefits of U.S. provisional application No. 60/099,782 filed September 10, 1998 and U.S. provisional application No. 60/123,786 filed March 11, 1999, the entire disclosures of which are incorporated herein by reference..

Prior application information: Examiner: Canella, Karen A.

Group Art Unit: 1642

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19. CORRESPONDENCE ADDRESS

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Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

NY2: 1482405.1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Prior application: Examiner Canella, Karen A.
- Art Unit 1642

Commissioner for Patents
P.O. Box 1450
Mail Stop PATENT APPLICATION
Alexandria, VA 22313-1450

Sir:

This is a request for filing a ☒ continuation ☐ divisional application under 37 CFR § 1.53(b), of pending prior application no. 09/392,500 filed on September 9, 1999, which is entitled to and claims priority benefits of U.S. provisional application No. 60/099,782 filed September 10, 1998 and U.S. provisional application No. 60/123,786 filed March 11, 1999, the entire disclosures of which are incorporated herein by reference.

of Ronald Taylor; Alessandra Nardin; William M. Sutherland; Mitchell H. Sokoloff; and Leland Chung
(inventor(s) currently of record in prior application)

ANTIBODIES TO A TUMOR-ASSOCIATED SURFACE ANTIGEN FOR DELIVERY OF DIAGNOSTIC
for AND THERAPEUTIC AGENTS

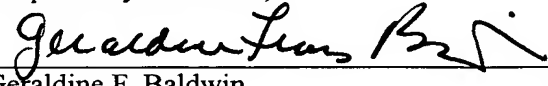
(title of invention)

1. ☐ The filing fee is calculated below and is based on the pending claims after entry of the enclosed Preliminary Amendment:
2. ☐ Please charge the required fee to Pennie & Edmonds LLP Deposit Account No. 16-1150. A copy of this sheet is enclosed.
- 3a. ☒ A Preliminary Amendment is enclosed.
- 3b. ☐ A new specification, substantially identical to the pending prior application and containing no new matter, is enclosed.
- 4a. ☒ New formal drawings are enclosed.
- 4b. ☐ Informal drawings are enclosed.
- 5a. ☒ Priority of U.S. provisional application nos. 60/099,782 filed September 10, 1998 and 60/123,786 filed March 11, 1999 is claimed under 35 U.S.C. § 119(e) in U.S. application Serial no. 09/392,500, of which this is a continuation.
- 5b. ☐ The certified copy has been filed in prior application no. _____, filed _____.
6. ☒ The prior application is assigned of record to The University of Virginia Patent Foundation recorded at Reel 010560, Frame 0253 on January 26, 2000 by virtue of an Assignment from The University of Virginia to The University of Virginia Patent Foundation. An Assignment from the inventors to The University of Virginia was recorded at Reel 010560, Fram 0246 on January 26, 2000.
- 7a. ☒ A copy of the Declaration and Power of Attorney filed in the prior application no. 09/392,500, filed September 9, 1999 is enclosed.
- 7b. ☐ A Power of Attorney is enclosed.

8. ☐ This application contains nucleic acid and/or amino acid sequences required to be disclosed in a Sequence Listing under 37 CFR §§1.821-1.825. It is requested that the Sequence Listing in computer readable form from prior application no., filed on be made a part of the present application as provided for by 37 C.F.R. §1.821(e). The sequences disclosed therein are the same as the sequences disclosed in this application. A copy of the paper Sequence Listing from application no. is enclosed.
9. ☐ The undersigned states, under 37 C.F.R. §1.821(f), that the content of the enclosed paper Sequence Listing from application no. is the same as the content of the computer readable form submitted in application no. .
10. ☐ DO NOT PUBLISH. I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).
11. ☐ Additional enclosures or instruction

Date November 21, 2003

Respectfully submitted,


Geraldine F. Baldwin 31,232
PENNIE & EDMONDS LLP (Reg. No.)
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New York, New York 10036-2711